Short Form
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung
benefit trust or private foundation)

For organizations with gross receipts less than $100,000 and total assets less
than $250,000 at the end of the year.
The organization may have to use a copy of this form to satisfy state reporting
requirements.

A For the 2001 calendar year, or tax year beginning , 2001, and ending . 20

B Check if applicable

<table>
<thead>
<tr>
<th>Address change</th>
<th>Name change</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

C Name of organization
Singularity Institute for Artificial Intelligence, Inc

D Employer identification number
56 2565917

E Telephone number
( 404 ) 249-8766

F Enter 4 digit (GEN)

G Accounting method
☑ Cash ☐ Accrual

H Check ☐ if the organization is not required to attach
Schedule B (Form 990-990-EZ or 990 PF)

I Web site http://singinst.org/

J Organization type (check only one)—☑ 501(c) (3) ☐ Insert no. ☐ 4947(a)(1) or ☐ 527

K Check ☐ if the organization's gross receipts are normally not more than $25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail it should file a return without financial data. Some states require a complete return

L Add lines 5b, 6b, and 7b to line 9 to determine gross receipts if $100,000 or more. File Form 990 instead of Form 990-EZ. $ 33,051 30

Part I: Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 35.)

<table>
<thead>
<tr>
<th>Revenue</th>
<th>Expenses</th>
<th>Changes</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contributions, gifts, grants, and similar amounts received</td>
<td>1</td>
<td>32,887 94</td>
<td></td>
</tr>
<tr>
<td>Program service revenue including government fees and contracts</td>
<td>2</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Membership dues and assessments</td>
<td>3</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Investment income</td>
<td>4</td>
<td>17 57</td>
<td></td>
</tr>
<tr>
<td>Gross amount from sale of assets other than inventory</td>
<td>5a</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Less cost or other basis and sales expenses</td>
<td>5b</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Gain or (loss) from sale of assets other than inventory (line 5a less line 5b)</td>
<td>5c</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Special events and activities (attach schedule)</td>
<td>6a</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Less (line expenses, other than fundraising expenses)</td>
<td>6b</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Net income or loss from special events and activities (line 6a less line 6b)</td>
<td>6c</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Gross sales of inventory, less returns and allowances</td>
<td>7a</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Less cost of sales</td>
<td>7b</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Gross profit or (loss) from sales of inventory (line 7a less line 7b)</td>
<td>7c</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Other revenue (describe Amazon.com Associate, Payalls confirmation)</td>
<td>8</td>
<td>145 79</td>
<td></td>
</tr>
<tr>
<td>Total revenue (add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8)</td>
<td>9</td>
<td>33,051 30</td>
<td></td>
</tr>
<tr>
<td>Grants and similar amounts paid (attach schedule)</td>
<td>10</td>
<td>24,000 00</td>
<td></td>
</tr>
<tr>
<td>Benefits paid to or for members</td>
<td>11</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Salaries, other compensation, and employee benefits</td>
<td>12</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Professional fees and other payments to independent contractors</td>
<td>13</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Occupancy, rent, utilities, and maintenance</td>
<td>14</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Printing, publications, postage, and shipping</td>
<td>15</td>
<td>94 59</td>
<td></td>
</tr>
<tr>
<td>Other expenses (describe Payalls charges, Bank charges, State Registration)</td>
<td>16</td>
<td>176 83</td>
<td></td>
</tr>
<tr>
<td>Total expenses (add lines 10 through 16)</td>
<td>17</td>
<td>24,271 42</td>
<td></td>
</tr>
<tr>
<td>Excess or (deficit) for the year (line 9 less line 17)</td>
<td>18</td>
<td>8,779 88</td>
<td></td>
</tr>
<tr>
<td>Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)</td>
<td>19</td>
<td>2,005 64</td>
<td></td>
</tr>
<tr>
<td>Other changes in net assets or fund balances (attach explanation)</td>
<td>20</td>
<td>0 00</td>
<td></td>
</tr>
<tr>
<td>Net assets or fund balances at end of year (combine lines 18 through 20)</td>
<td>21</td>
<td>10,785 52</td>
<td></td>
</tr>
</tbody>
</table>

Part II: Balance Sheets—If total assets on line 25, column (B) are $250,000 or more, file Form 990 instead of Form 990-EZ

(A) Beginning of year | (B) End of year
| Cash, savings and investments | 2,505 64 | 10,785 52 |
| Land and buildings | 0 00 | 23 |
| Other assets (describe ) | 0 00 | 24 |
| Total assets | 2,505 64 | 10,785 52 |
| Total liabilities (describe ) | 500 00 | 26 |
| Net assets or fund balances (line 27 of column (B) must agree with line 21) | 2,005 64 | 10,785 52 |

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 10642I  Form 990-EZ (2001)
Part III  Statement of Program Service Accomplishments (See Specific Instructions on page 40)

What is the organization's primary exempt purpose?  **Create a Friendly, self-improving Artificial Intelligence**

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title provided:

28  **Research Fellowship, Eliezer Yudkowsky** - completed work on "Friendly AI", wrote design papers for "Flare" programming language ("Friendly AI" - work on safety precautions for self-improving Artificial Intelligence; "Flare" - open-source advanced language)  

   (Grants $ 24,000)  

29  

30  **Singularity Institute website** - provided information about Artificial Intelligence to public, provided research results to public, operated email list for persons interested in Singularity  

   (Grants $)  

31  **Other program services (attach schedule)**  

32  **Total program service expenses** (add lines 28a through 31a)  

   ▶  $24,000  

Part IV  List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated. See Specific Instructions on page 40)

(A) Name and address  
(B) Title and average number of hours per week devoted to position  
(C) Compensation (If not paid, enter -0-)  
(D) Contributions to employee benefit plans & deferred compensation  
(E) Expense account and other allowances

<table>
<thead>
<tr>
<th>Name</th>
<th>Title/Position</th>
<th>Hours</th>
<th>Compensation</th>
<th>Benefits</th>
<th>Other Allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brian Atkins</td>
<td>President/Chair (1)</td>
<td></td>
<td>-0-</td>
<td>-0-</td>
<td>-0-</td>
</tr>
<tr>
<td>Sabine Atkins</td>
<td>Director (1)</td>
<td></td>
<td>-0-</td>
<td>-0-</td>
<td>-0-</td>
</tr>
<tr>
<td>Eliezer Yudkowsky</td>
<td>Secretary/Treasurer (4)</td>
<td></td>
<td>-0-</td>
<td>-0-</td>
<td>-0-</td>
</tr>
</tbody>
</table>

Part V  Other Information (Note the attachment requirement in General Instruction V, page 14)

33  Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity  

34  Were any changes made to the organizing or governing documents but not reported to the IRS? If "Yes," attach a copy of the changes  

35  **If the organization had income from business activities such as those reported on lines 2, 6, and 7 (among others) but not reported on Form 990-T, attach a statement explaining your reason for not reporting the income on Form 990-T**

   a. Did the organization have unrelated business gross income of $1,000 or more or 6033(c) notice, reporting, and proxy tax requirements?

   b. If "Yes," has it filed a tax return on Form 990-T for this year?

36  Was there a liquidation, dissolution, termination, or substantial contraction during the year? (If "Yes," attach a statement)

37a  Enter amount of political expenditures directly or indirect, as described in the instructions  

   ▶  $0  

38a  Did the organization file Form 1120-POL for this year?

   ▶  

38b  If "Yes," attach the schedule specified in the line 38 instructions and enter the amount involved

39  **501(c)(7) organizations** Enter initiation fees and capital contributions included on line 9

   ▶  $0  

40a  **501(c)(3) organizations** Enter amount of tax imposed on the organization during the year under section 4911, 4912, 4913, 4955

   ▶  $0  

40b  501(c)(3) and (4) organizations Did the organization impose any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach an explanation

   ▶  

40c  Amount of tax imposed on organization managers or disqualified persons during the year under 4912, 4955, and 4958

   ▶  $0  

40d  Enter amount of tax on line 40c, above, reimbursed by the organization

   ▶  

41  List the states with which a copy of this return is filed  

   ▶  Georgia

42  The books are in care of  

   ▶  Eliezer Yudkowsky

   Telephone number:  

   ▶  (404) 249-8786

   Address:  

   ▶  878 Peachtree St, NE #528, Atlanta, GA 30309

43  **Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041—Check here if the trust received income from an exempt function other than investment income or realized gain from property not held for investment purposes, and enter the amount of tax-exempt interest received or accrued during the tax year

   ▶  

   Date  

   ▶  5-13-2002
### Part I  Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 1 of the instructions. List each one. If there are none, enter "None").

<table>
<thead>
<tr>
<th>(a) Name and address of each employee paid more than $50,000</th>
<th>(b) Title and average hours per week devoted to position</th>
<th>(c) Compensation</th>
<th>(d) Contributions to employee benefit plans &amp; deferred compensation</th>
<th>(e) Expense account and other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td></td>
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</table>

Total number of other employees paid over $50,000

### Part II  Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None").

<table>
<thead>
<tr>
<th>(a) Name and address of each independent contractor paid more than $50,000</th>
<th>(b) Type of service</th>
<th>(c) Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
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<td></td>
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<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

Total number of others receiving over $50,000 for professional services
Part III  Statements About Activities (See page 2 of the instructions)

1  During the year has the organization attempted to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $  ____________ (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B)

Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities

2  During the year has the organization, either directly or indirectly engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees or members of their families or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions)

   a  Sale, exchange, or leasing of property?
   ▶ 2a  Yes

   b  Lending of money or other extension of credit?
   ▶ 2b  Yes

   c  Furnishing of goods, services or facilities?
   ▶ 2c  Yes

   d  Payment of compensation (or payment or reimbursement of expenses if more than $1,000)?
   ▶ 2d  Yes

   e  Transfer of any part of its income or assets?
   ▶ 2e  Yes

3  Does the organization make grants for scholarships, fellowships, student loans, etc.? (See Note below.)

4  Do you have a section 403(b) annuity plan for your employees?
   ▶ 4  Yes

Note  Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments.

Part IV  Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box)

5  ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(ii)

6  ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)

7  ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(vi)

8  ☐ A Federal, state or local government or governmental unit Section 170(b)(1)(A)(v)

9  ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(vi) Enter the hospital’s name, city, and state ▶ ...

10  ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(v) (Also complete the Support Schedule in Part IV-A)

11a  ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(v) (Also complete the Support Schedule in Part IV-A)

11b  ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)

12  ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable etc. functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the Support Schedule in Part IV-A)

13  ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above or (2) section 501(c)(4), (5) or (6) if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)
(b) Line number from above

14  ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)
### Part IV-A Support Schedule

**Note:** You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning on)</th>
<th>(a) 2000</th>
<th>(b) 1999</th>
<th>(c) 1998</th>
<th>(d) 1997</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>15 Gifts, grants and contributions received (Do not include unusual grants - See line 28)</td>
<td>12,500 00</td>
<td></td>
<td></td>
<td></td>
<td>12,500 00</td>
</tr>
<tr>
<td>16 Membership fees received</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17 Gross receipts from admissions, merchandise sold or services performed or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)) rents, royalties and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975</td>
<td></td>
<td></td>
<td>5 64</td>
<td></td>
<td>5 64</td>
</tr>
<tr>
<td>19 Net income from unrelated business activities not included in line 18</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21 The value of services or facilities furnished to the organization by a governmental unit without charge - Do not include the value of services or facilities generally furnished to the public without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22 Other income Attach a schedule - Do not include gain or (loss) from sale of capital assets</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>23 Total of lines 15 through 22</td>
<td>12,505 64</td>
<td></td>
<td></td>
<td></td>
<td>12,505 64</td>
</tr>
<tr>
<td>24 Line 23 minus line 17</td>
<td>12,505 64</td>
<td></td>
<td></td>
<td></td>
<td>12,505 64</td>
</tr>
<tr>
<td>25 Enter 1% of line 23</td>
<td>125 05</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**26 Organizations described on lines 10 or 11**

**a** Enter 2% of amount in column (e) line 24 | 26a |

**b** Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a **Do not file this list with your return** Enter the total of all these excess amounts | 26b |

**c** Total support for section 509(a)(11) test Enter line 24 column (e) | 26c |

**d** Add Amounts from column (e) for lines 18 19 22 26b 12,249 80 | 26d |

**e** Public support (line 26c minus line 26d total) | 26e |

**f** Public support percentage (line 26e numerator) divided by line 26c (denominator) | 26f |

**27 Organizations described on line 12**

**a** For amounts included in lines 15, 16, and 17 that were received from a disqualified person - prepare a list for your records to show the name of and total amounts received in each year from each disqualified person **Do not file this list with your return** Enter the sum of such amounts for each year | 27a |

**b** For any amount included in line 17 that was received from each person (other than disqualified persons), prepare a list for your records to show the name of and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) $5 000 (include in the list organizations described in lines 5 through 11, as well as individuals) **Do not file this list with your return** After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year | 27b |

**c** Add Amounts from column (e) for lines 15 16 17 20 21 | 27c |

**d** Add Line 27a total and line 27b total | 27d |

**e** Public support (line 27c total minus line 27d total) | 27e |

**f** Total support for section 509(a)(2) test Enter amount from line 23 column (e) | 27f |

**g** Public support percentage (line 27e numerator) divided by line 27f (denominator) | 27g |

**h** Investment income percentage (line 18 column (e) numerator) divided by line 27f (denominator) | 27h |

**28 Unusual Grants** For an organization described in line 10, 11 or 12 that received any unusual grants during 1997 through 2000 prepare a list for your records to show for each year the name of the contributor the date and amount of the grant and a brief description of the nature of the grant **Do not file this list with your return** Do not include these grants in line 15
Part V Private School Questionnaire (See page 7 of the instructions)  
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?

30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?

31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program in a way that makes the policy known to all parts of the general community it serves?

If “Yes” please describe. If “No” please explain (If you need more space, attach a separate statement.)

32 Does the organization maintain the following:

a. Records indicating the racial composition of the student body, faculty, and administrative staff?

b. Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?

c. Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?

d. Copies of all material used by the organization or on its behalf to solicit contributions?

If you answered “No” to any of the above, please explain (If you need more space, attach a separate statement.)

33 Does the organization discriminate by race in any way with respect to:

a. Students’ rights or privileges?

b. Admissions policies?

c. Employment of faculty or administrative staff?

d. Scholarships or other financial assistance?

e. Educational policies?

f. Use of facilities?

g. Athletic programs?

h. Other extracurricular activities?

If you answered “Yes” to any of the above, please explain (If you need more space, attach a separate statement.)

34a Does the organization receive any financial aid or assistance from a governmental agency?

b. Has the organization’s right to such aid ever been revoked or suspended?

If you answered “Yes” to either 34a or b, please explain using an attached statement.

35 Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50 1975 2 C.B. 587 covering racial nondiscrimination? If “No,” attach an explanation.
**Part VI-A**  
**Lobbying Expenditures by Electing Public Charities**  
(See page 9 of the instructions)  
(To be completed ONLY by an eligible organization that filed Form 5768)

Check ▶ a □ if the organization belongs to an affiliated group  
Check ▶ b □ if you checked 'a' and 'limited control' provisions apply

### Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred)

<table>
<thead>
<tr>
<th></th>
<th>(a) Affiliated group totals</th>
<th>(b) To be completed for ALL electing organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>36</td>
<td>Total lobbying expenditures to influence public opinion (grassroots lobbying)</td>
<td></td>
</tr>
<tr>
<td>37</td>
<td>Total lobbying expenditures to influence a legislative body (direct lobbying)</td>
<td></td>
</tr>
<tr>
<td>38</td>
<td>Total lobbying expenditures (add lines 36 and 37)</td>
<td></td>
</tr>
<tr>
<td>39</td>
<td>Other exempt purpose expenditures</td>
<td></td>
</tr>
<tr>
<td>40</td>
<td>Total exempt purpose expenditures (add lines 38 and 39)</td>
<td></td>
</tr>
<tr>
<td>41</td>
<td>Lobbying nontaxable amount. Enter the amount from the following table—</td>
<td></td>
</tr>
</tbody>
</table>

**If the amount on line 40 is—**  
**The lobbying nontaxable amount is—**

<table>
<thead>
<tr>
<th>Not over $500,000</th>
<th>20% of the amount on line 40</th>
</tr>
</thead>
<tbody>
<tr>
<td>Over $500,000 but not over $1,000,000</td>
<td>$100,000 plus 15% of the excess over $500,000</td>
</tr>
<tr>
<td>Over $1,000,000 but not over $1,500,000</td>
<td>$175,000 plus 10% of the excess over $1,000,000</td>
</tr>
<tr>
<td>Over $1,500,000 but not over $17,000,000</td>
<td>$225,000 plus 5% of the excess over $1,500,000</td>
</tr>
<tr>
<td>Over $17,000,000</td>
<td>$1,000,000</td>
</tr>
</tbody>
</table>

| 42 | Grassroots nontaxable amount (enter 25% of line 41) |
| 43 | Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36 |
| 44 | Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38 |

**Caution** If there is an amount on either line 43 or line 44, you must file Form 4720

---

### 4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below  
See the instructions for lines 45 through 50 on page 11 of the instructions)

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2001</th>
<th>(b) 2000</th>
<th>(c) 1999</th>
<th>(d) 1998</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>45</td>
<td>Lobbying nontaxable amount</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>46</td>
<td>Lobbying ceiling amount (150% of line 45(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>47</td>
<td>Total lobbying expenditures</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>48</td>
<td>Grassroots nontaxable amount</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>49</td>
<td>Grassroots ceiling amount (150% of line 48(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>50</td>
<td>Grassroots lobbying expenditures</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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**Part VI-B**  
**Lobbying Activity by Nonelecting Public Charities**  
(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions)

During the year, did the organization attempt to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum through the use of:

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h)
- c Media advertisements
- d Mailings to members, legislators or the public
- e Publications or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches, lectures or any other means
- i Total lobbying expenditures (Add lines c through h)

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities.

---

Schedule A (Form 990 or 990-EZ) 2001
**Part VII  Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 12 of the instructions)**

51   Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527 relating to political organizations?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Cash</td>
<td>✔</td>
</tr>
<tr>
<td>b</td>
<td>Other assets</td>
<td>✔</td>
</tr>
</tbody>
</table>

b Other transactions

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>b(i) Sales or exchanges of assets with a noncharitable exempt organization</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>b(ii) Purchases of assets from a noncharitable exempt organization</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>b(iii) Rental of facilities, equipment, or other assets</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>b(iv) Reimbursement arrangements</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>b(v) Loans or loan guarantees</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>b(vi) Performance of services or membership or fundraising solicitations</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Sharing of facilities, equipment, mailing lists, other assets, or paid employees</td>
<td>✔</td>
</tr>
</tbody>
</table>

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

<table>
<thead>
<tr>
<th>(a) Line no.</th>
<th>(b) Amount involved</th>
<th>(c) Name of noncharitable exempt organization</th>
<th>(d) Description of transfers, transactions, and sharing arrangements</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

52a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

- [ ] Yes
- [ x ] No

b If "Yes," complete the following schedule:

<table>
<thead>
<tr>
<th>(a) Name of organization</th>
<th>(b) Type of organization</th>
<th>(c) Description of relationship</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
</tbody>
</table>

Schedule A (Form 990 or 990-EZ) 2001
Singularity Institute for Artificial Intelligence, Inc

Filers of Form 990 or 990-EZ

☐ 501(c)(3) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Filers of Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the General rule or a Special rule. (Note: Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule—see instructions.)

General Rule—

☐ For organizations filing Form 990, 990-EZ, or 990-PF that received during the year $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules—

☐ For a section 501(c)(3) organization filing Form 990 or Form 990-EZ, that met the 33⅓% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year a contribution of the greater of $5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor during the year aggregate contributions or bequests of more than $1,000 for use exclusively for religious, charitable scientific literary or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor during the year, some contributions for use exclusively for religious, charitable etc. purposes but these contributions did not aggregate to more than $1,000 (If this box is checked enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious charitable etc. contributions of $5,000 or more during the year)
### Part I  Contributors (See Specific Instructions)

<table>
<thead>
<tr>
<th>(a) No</th>
<th>(b) Name, address and ZIP + 4</th>
<th>(c) Aggregate contributions</th>
<th>(d) Type of contribution</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td>$24,000.00</td>
<td>Person ✓ Payroll ☐ Noncash ☐</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td>$</td>
<td>Person ☐ Payroll ☐ Noncash ☐</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(Complete Part II if there is a noncash contribution)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(Complete Part II if there is a noncash contribution)</td>
</tr>
</tbody>
</table>

Schedule B (Form 990, 990-EZ, or 990-PF) (2001)
Singularity Institute for Artificial Intelligence, Inc
878 Peachtree St. NE #528
Atlanta, GA 30309-4431
EIN: 58-2565917

Grant determination method:

The Singularity Institute makes Fellowship grants where the following conditions obtain:

*) The work performed is of unique relevance and value to the Singularity, to Artificial Intelligence, or to Friendly Artificial Intelligence.
*) The work is unfunded or underfunded by existing institutions.
*) No benefit would be realized by embracing the work on a contractual or employee basis; or the Singularity Institute lacks the administrative or project structure to do so, or the work can only be performed under the aegis of an existing institution; or we judge that the work would best be carried out independently
Singularity Institute for Artificial Intelligence, Inc
878 Peachtree St  NE #529
Atlanta, GA 30309-4431
EIN.  58-2565917

Schedule of grants for 2001

Research Fellowship - Eliezer Yudkowsky
  Amount.  $24,000
  Description: Provides for the continuation of Eliezer Yudkowsky's activities in Artificial Intelligence design and analysis, his activities in Singularity advocacy and evangelism, and his work on Friendly Artificial Intelligence.
  Justification  Eliezer Yudkowsky has been recognized as one of the most active proponents of the Singularity since 1996, and this Research Fellowship has enabled him for the first time to work on the Singularity full-time, including AI design and analysis of Friendly AI
  (Please note that although Yudkowsky is both a Research Fellow and a Director, the Fellowship has adequate funding already committed from Director Brian Atkins, and the funds for this Fellowship are kept in a separate bank account, thus no conflict-of-interest questions should arise. The Singularity Institute is a relatively new organization and persons must often fill multiple roles; however, Yudkowsky's primary role in SIAI is research.)